

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ross L. Stevens et al.)	
Serial No.:	10/711,889)	
Filed:	October 12, 2004)	Confirmation No. 5888
)	
Group Art Unit:	4143)	
Examiner:	John O. Preston)	
)	
Attorney Docket:	014033-000037)	
)	
Title:	METHOD AND SYSTEM)	
	FOR BLOCK TRADING)	
	OF SECURITIES)	

Commissioner for Patents
Mailstop AF
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE UNDER 37 C.F.R § 1.116

Sir:

This paper is being filed in response to the final Office Action mailed August 19, 2008, having a reply due date of November 19, 2008. Applicants believe no fee is due at this time. Should Applicants be mistaken as to the fee, you are authorized to charge deposit account 13-4365 for the shortfall, or credit said deposit account for any overpayment. Entry of this response and reconsideration of the application is respectfully requested in light of the amendments and remarks contained herein.

Amendments to the Specification there are no amendments to the specification.

Amendments to the Claims begin there are no amendments to the claims.

Remarks/Arguments begin on page 2 of this paper.